GP1632

Express Mail Label No.: EV 392154128 US Date of Deposit: February 12, 2004

Attorney Docket No.: 19141-543 NATL

FEB 1 2 2004 K

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PPLICANTS:

Tao, et al.

SERIAL NUMBER:

09/830,524

EXAMINER:

Michael C. Wilson

FILING DATE:

October 12, 2001

ART UNIT:

1632

For:

CELL SURFACE MOLECULE-INDUCED MACROPHAGE ACTIVATION

**Mail Stop IDS** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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TRANSMITTAL LETTER

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Transmitted herewith for filing in the present application are the following documents:

- 1. Information Disclosure Statement (2 pages), in duplicate;
- 2. Modified Form 1449/PTO (2 pages), in duplicate;
  - 3. Copies of Cited References: C11-13;
- 4. Check in the amount of \$180.00 (#18072); and
  - 5. Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311 Ref. No. 19141-543 NATL. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 39,529

Attorney for Applicants

c/o MINTZ, LEVIN, COHN, FERRIS,

GLOVSKY AND POPEO, P.C.

One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000

Fax: (617) 542-2241

Customer No.: 30623

Date: February 12, 2004

TRA 1885097v1

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## INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the attached modified Form PTO-1449.

This Information Disclosure Statement is being filed after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311. The fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed.

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and sign the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

APPLICANTS: U.S.S.N.:

Tao, et al. 09/830,524

Notwithstanding any statements by the Applicants, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 19141-543 NATL.

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 39,529 Attorney for Applicants

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C.

One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000 Fax: (617) 542-2241 Customer No. 30623

Dated: February 12, 2004

TRA 1885083v1

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Moduled Form 1449/PTO	Application Number	09/830,524
	Filing Date	October 12, 2001
FEB 1 2 2004 SINFORMATION DISCLOSURE	First Named Inventor	Тао
STATEMENT BY APPLICANT	Group Art Unit	1632
(use as many sheets as necessary)	Examiner Name	Michael C. Wilson
(use as many sheets as necessary)	Attorney Docket Number	19141-543 NATL

				U.S. PATENT DOCUMENTS		i de la compania de La compania de la co	
Exam Initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate
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Exam Initial s	Cite No.	Foreig Office	n Patent Document Number	Name of Patentee(s) or Applicant(s)	Date of Publication	Translation Yes No
	*B1	wo	95/27042	Diacrin, Inc.	10/12/95	
	*B2	wo	95/33828	Diacrin, Inc.	12/14/95	
-	*B3	wo	95/35120	Alexion Pharmaceuticals, Inc.	12/28/95	
_	*B4	wo	96/06165	The General Hospital Corporation	02/29/96	
	*B5	wo	96/06642	The General Hospital Corporation	03/07/96	
	*B6	wo	96/14397	Diacrin, Inc.	05/17/96	
	*B7	wo	96/21466 .	Quadra Logic Technologies, inc.	07/18/96	
	*B8	wo	97/11607	Emory University	04/03/97	
	* <b>B</b> 9	wo	97/12035	Nextran	04/03/97	
	*B10	wo	96/02646	Cytotherapeutics, Inc.	02/01/96	

Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.		
	*C1	McGeer, et al. (1998). Drugs 55: 739-746.		
	*C2	Morgan, et al. (1997). Immunopharmacology 38: 43-50.		
	*C3	Naka, et al. (1997). Transplantation 64: 1248-1255.		
	*C4	Shen, et al. (1997). Brain Res Brain Res Protoc 1: 186-194.		
	*C5	Shen, et al. (1997). Brain Res 769: 391-395.		
	*C6	Tao, et al. (1995). J Leukoc Biol 58: 203-208.		
	*C7	Terai, et al. (1997). Brain Res 769: 385-390.		
	*C8	Gajewski, et al. (1996). J Immunol 156: 2909-2917.		
	*C9	Stabila, et al. (1998). Nat Biotechnol 16: 1357-1360.		
-	*C10	Yu, et al. (1998). Int Immunol 10: 791-797.		

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Page 2 of 2

	OTHER PRIOR AND NON PATERY LITERATURE DOCUMENTS	
Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.
	C11	Mountain A. (2000). TIBTECH: 18:119-128.
	C12	Anderson W.F. (1998). Nature: 392:25-30.
	C13	Walther, et al. (2000). Drugs 60(2):249-271.

<sup>\*</sup> a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. 09/178,869, filed October 26, 1998, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.

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